Approved for use

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persóns are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/700,851 TRANSMITTAL MAR 1 2 2002 Filing Date 11/17/00 **FORM First Named Inventor** Matsumoto to be used for all correspondence after initial filing) Group Art Unit Unassigned **Examiner Name** Unassigned Total Number of Pages in This Submission Attorney Docket Number 019026-000110US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) Proprietary Information After Final and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) four (4) reference copies Terminal Disclaimer 2) return receipt postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Remarks Deposit Account 20-1430. Document(s) Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP and Joe Liebeschuetz Reg No. 37,505 Individual name Signature Date March 6, 2002 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Rommissioner for Patents, Washington, D.C. 20231 on this date: March 6, 2002 Typed or printed name Chris Fitting

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Date

March 6, 2002

PA 3206030 v1

Signature



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

YOH-ICHI MATSUMOTO et al.

Application No.: 09/700,851

Filed: November 17, 2000

For: HUMANIZED ANTIBODIES THAT RECOGNIZE VEROTOXIN II AND CELL LINE PRODUCING SAME Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

YOH-ICHI MATSUMOT al Application No.: 09/700,899 Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Joe Liebeschuetz Reg. No. 37,505

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 650-326-2400

Fax: 650-326-2422

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Sheet

PTO/SB/08A (08-00)

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Substitute	for form 1449A/PTO			Complete if Known			
_				Application Number	09/700,851		
NFO	RMATION	DISC	CLOSURE	Filing Date	November 17, 2000		
STATEMENT BY APPLICANT				First Named Inventor	Matsumoto, Yoh-Ichi		
뭙				Group Art Unit	Unassigned		
3/	(use as many she	ets as ı	necessary)	Examiner Name	Unassigned		
heet	1	of	2	Attorney Docket Number	019026-000110US		

U.S. PATENT DOCUMENTS							
		U.S. Pa	atent Document			Pages, Columns, Lines,	
Examiner Initials *	Cite No.1	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
	AA	5,585,	089	Queen et al.	12-17-1996	,	
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FOREIGN PATENT DOCUMENTS								
Examiner	Cite	For	reign Patent Document Name of Patentee Date		Date of Publication of	Pages, Columns, Lines, Where Relevant	s,	
Initials*	No.1	Office ³	Number	ind Code ⁵ <i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶
	AB	PCT	WO 99/32645	A1		07-01-1999		
	AC	PCT	WO 98/20903	A1		05-22-1998		

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¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

MAR 1 2 1002

PTO/SB/08B (08-00)

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Substitute for form 1449B/PTO Complete if Known **Application Number** 09/700,851 INFORMATION DISCLOSURE Filing Date November 17, 2000 TATEMENT BY APPLICANT **First Named Inventor** Matsumoto, Yoh-Ichi Group Art Unit Unassigned (use as many sheets as necessary) **Examiner Name** Unassigned of Attorney Docket Number 019026-000110US

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²					
	AD	NAKAO et al., "Monoclonal Antibody to Shiga Toxin 2 Which Blocks Receptor Binding and Neutralizes Cytotoxicity," <u>Infection and Immunity</u> , 67(11):5717-5722 (1999).						
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Signature		Considered	

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